

A satellite image of Earth showing a large, circular dust storm over the Atlantic Ocean. The dust storm is a large, circular, yellowish-brown cloud that is moving from the Saharan Air Layer over Africa into the eastern Atlantic Ocean. The surrounding ocean is dark blue, and the landmasses of Africa and South America are visible in the background.

Cloud Aerosol Effects: too cool to be true?

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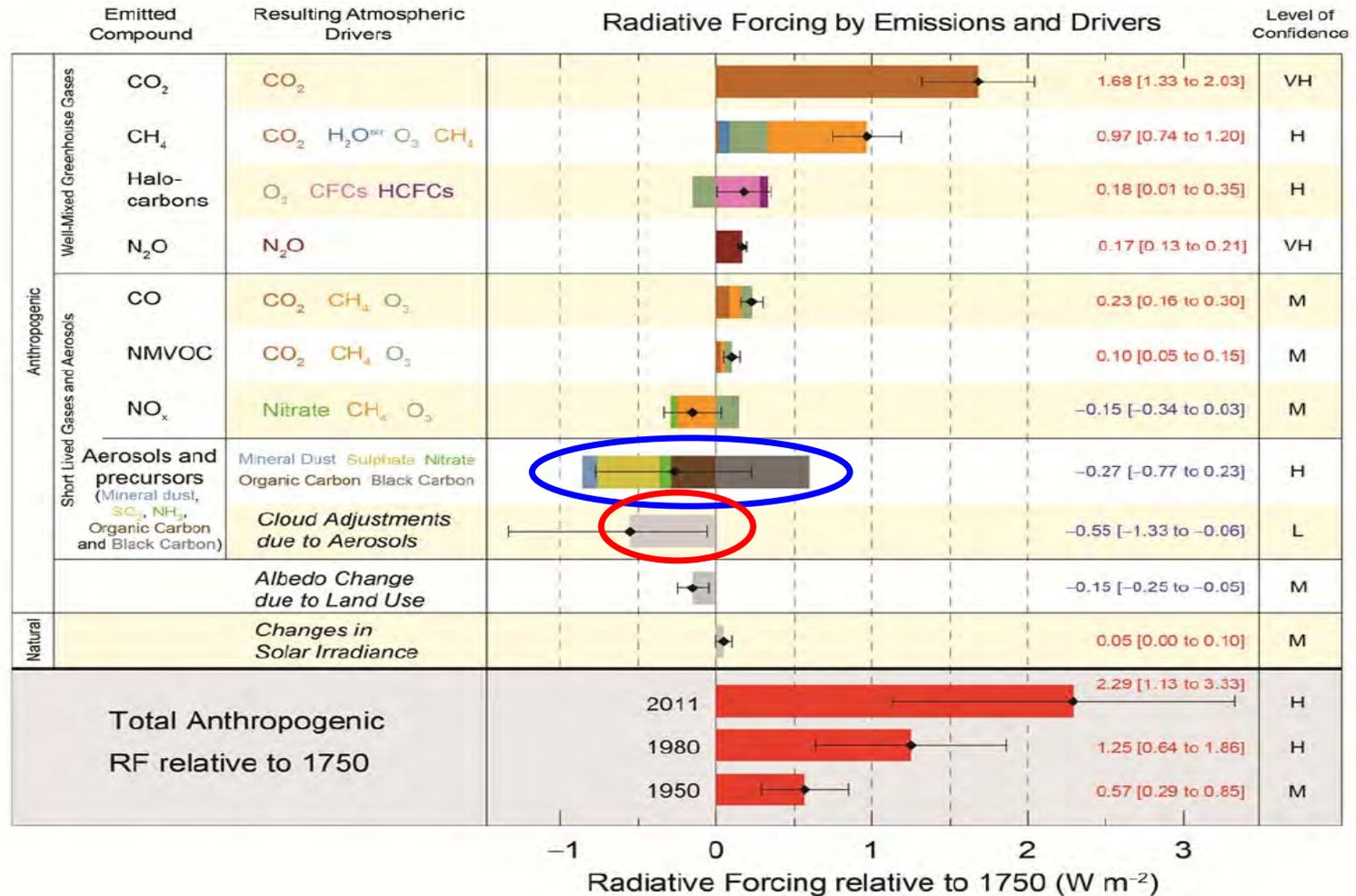
This NASA satellite image shows a dust storm, hundreds of thousands of square miles in size, moving from the Saharan Air Layer over Africa into the eastern Atlantic Ocean. The image was captured by the Sea-Viewing Wide Field-of-View Sensor (SeaWiFS) instrument on February 26, 2000. (Courtesy SeaWiFS/Ocean Color Team)

Climate Effects of Aerosol Radiation Budget and Hydrological Cycle

- **direct effect** ⇒ **net cooling**
aerosols scatter and absorb radiation
- **first indirect effect** ⇒ **cooling**
aerosols increase number concentration
of cloud droplets and ice particles
- **second indirect effect** ⇒ **cooling**
aerosols decrease precipitation efficiency
- **other indirect and semi-direct effects**
⇒ **cooling or warming**

2011 Global Average Radiative Forcing Estimates

from IPCC fifth assessment report - <http://www.ipcc.ch>



- anthropogenic greenhouse forcing (~3.3 W/m²)
- aerosols cool atmosphere => total anthropogenic ~ 2.3 W/m²

Climate Effects of Aerosol

2005 Global Average Radiative Forcing Estimates
from IPCC fifth assessment report - <http://www.ipcc.ch>

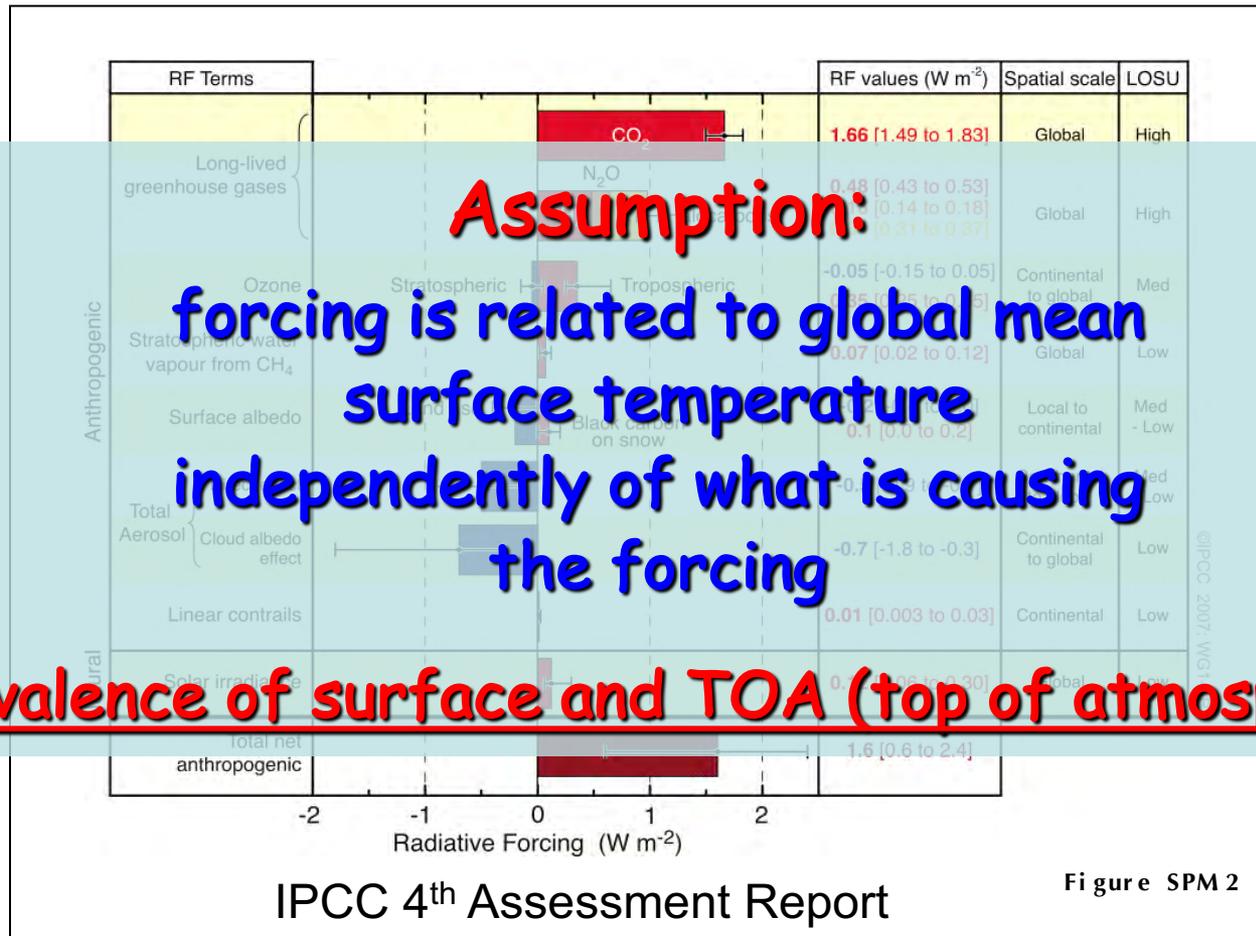
- aerosol direct effect: +0.23 to -0.77 W/m²
(AR4: - 0.1 to - 0.9 W/m²)
- 1st aerosol indirect effect: -0.06 to - 1.33
(AR4: - 0.3 to - 1.8 W/m²)
- 2nd aerosol indirect effect: - 0.3 to - 1.4 W/m²
- not included in IPCC since treated as feedback
- aerosol cooling (-3.5 W/m² max) can not be larger than
greenhouse warming! (+3.3 W/m² all GHG combined)

Aerosol effects on climate: too cool to be true?

Aerosol Direct Effects

- **believed to be fairly well understood**
 - as more observations become available
 - models become more sophisticated
- **active research areas:**
 - vertical aerosol distribution
 - role of absorbing aerosols
 - feedbacks with atmospheric circulation

Radiative Forcing and Surface Temperature Change



Non-absorbing Aerosol and Surface Temperature Change

**non-absorbing aerosol reflects solar radiation
back to space**

- ⇒ **cooling of atmosphere**
- ⇒ **surface albedo important for TOA forcing**
- ⇒ **reduction at surface \approx increase in outgoing radiation**
- ⇒ **change in surface temperature can be derived from TOA forcing
thus observable from satellite**
- ⇒ **TOA forcing from non-absorbing aerosol and greenhouse gases
affect surface temperature in the same way**

Absorbing Aerosol and Surface Temperature Change

absorbing aerosol (partially) absorbs solar radiation

- ⇒ absorbed radiation cannot be reflected elsewhere
- ⇒ less solar radiation reflected back to space
- ⇒ warming of the atmosphere
- ⇒ absorbed radiation does **not** reach surface
- ⇒ surface cooling
- ⇒ reduction in surface downward radiation much larger than reduction in outgoing TOA radiation
- ⇒ change in surface temperature **cannot** be derived from TOA forcing in the same way as for non-absorbing aerosols and greenhouse gases

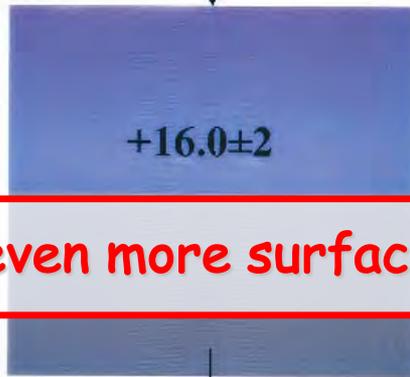
INDOEX - Indian Ocean Experiment

Ramanathan et al. (2001)

Aerosol Radiative Forcing (W m^{-2}): North Indian Ocean
(Jan - March, 1999; 0 - 20°N)

$$\tau_a = 0.3$$

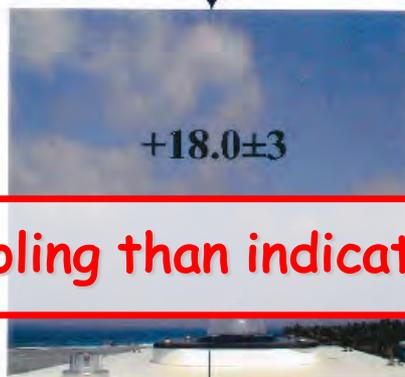
-7.0 ± 1



Direct
(Clear Sky)

(1)

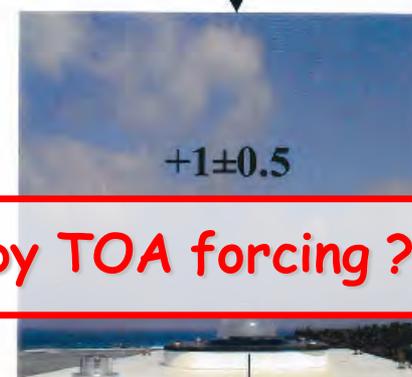
-2.0 ± 2



Direct
(Cloudy Sky)

(2)

-5 ± 2.5



First Indirect

(3)

even more surface cooling than indicated by TOA forcing ?

Plate 13. Aerosol direct radiative forcing for the North Indian Ocean (0° to 20°N; 40° to 100°E). The values include the effects of natural and anthropogenic aerosols. The values on top of each panel reflect TOA forcing; those within the box show the atmospheric forcing, and below the box is the surface forcing.

Semi-direct Aerosol Effect

Absorbing aerosol warms the aerosol layer and cools the surface

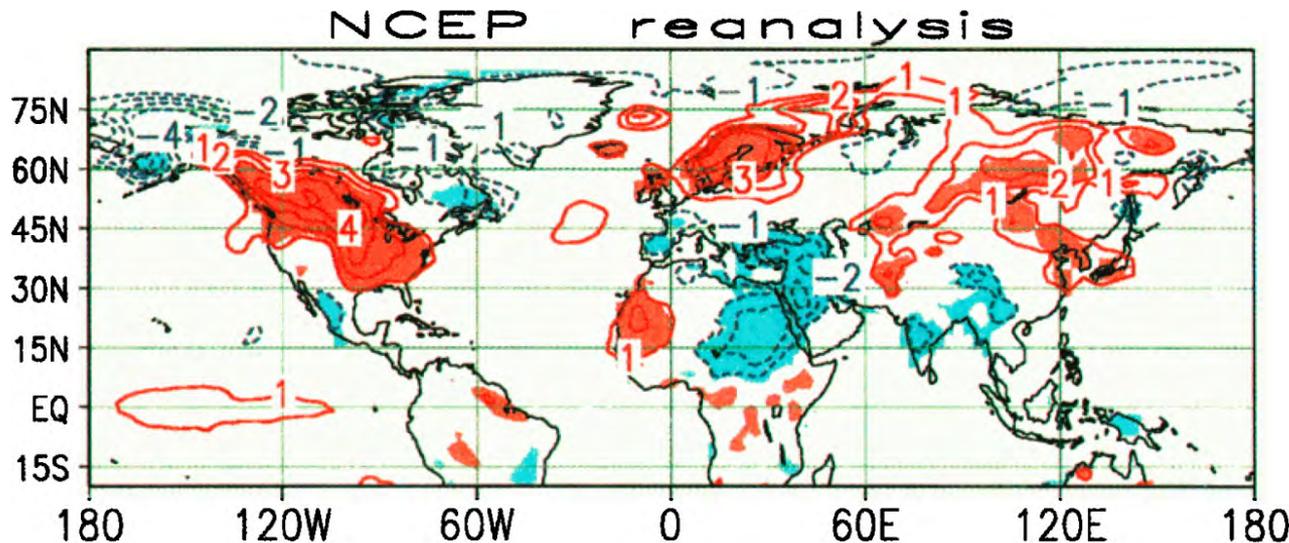
- ⇒ leading to a stabilization of the atmosphere
- ⇒ leading to a reduction in low-level cloud cover and liquid water path
- ⇒ semi-direct effects leads to surface warming (positive forcing, however, considered as feedback by IPCC)
- ⇒ semi-direct effect can be several times larger than the direct effect (even for a weakly absorbing aerosol layer)

Aerosols and Large Scale Atmospheric Circulation

- In contrast to well-mixed greenhouse gases **aerosols are highly variable in space and time.**
- **Aerosol forcing comes in patterns** with forcing values much larger in source regions than the global average.
- Aerosol pattern alter large scale gradients in temperature and pressure leading to additional **circulation changes, e.g.**
 - winter warming after a volcanic eruption
 - potential drying of the Sahel zone due to anthropogenic sulfate aerosol

Winter Warming following the 1991 Mt. Pinatubo volcanic eruption

- **Volcanic aerosol in stratosphere excites positive phase of North Atlantic Oscillation (NAO)**
 - differential heating between low and high latitudes in stratosphere
 - strengthening of polar vortex in winter
 - planetary waves reflected back to troposphere
 - circulation pattern with warm air advection over NH continents
- **response to volcanic aerosol has a spatial pattern**
- **despite overall tropospheric cooling warming possible in some regions**



surface air
temperatures
anomalies [K]
in DJF 1991/2

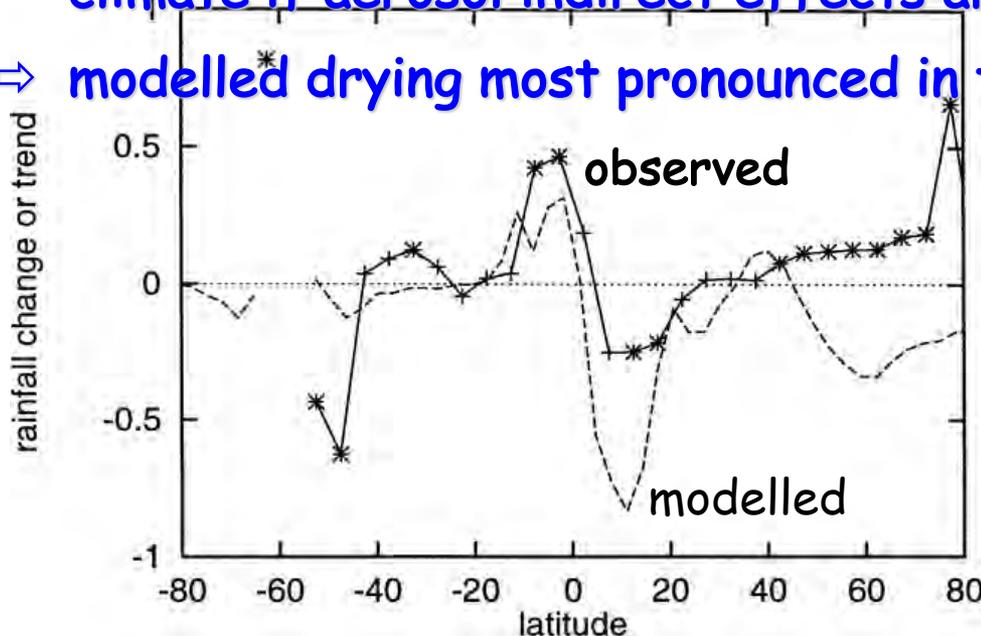
Kirchner et al. (1999)

Potential Drying of the Sahel Zone due to Anthropogenic Sulfate Aerosol

Most of the source regions for anthropogenic sulfate aerosol are in the Northern hemisphere.

- ⇒ creating a hemispherical SST difference
- ⇒ leading to a significant southward shift in tropical precipitation in the CSIRO climate model between pre-industrial and present day climate if aerosol indirect effects are included

⇒ modelled drying most pronounced in the Sahel in North Africa



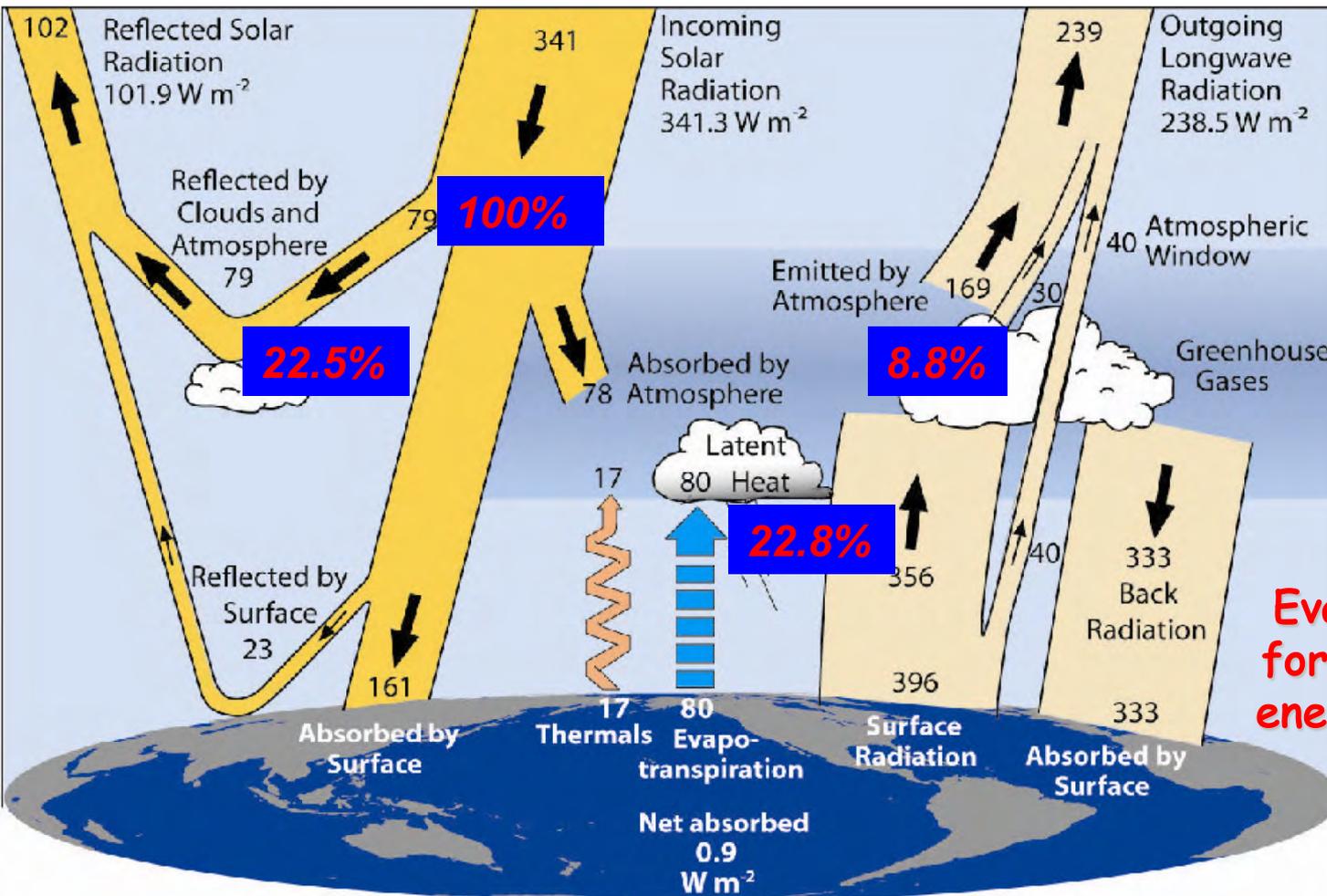
zonally averaged trend in annual-mean precipitation over land for the period 1901-98 in mm day⁻¹ century⁻¹

by Rotstayn and Lohmann (2002)

Aerosol Indirect Effects

- Consequence of interactions between aerosols and clouds
 - ⇒ Let's have a look at clouds first

**Cloud forcing is difference of two large numbers.
Small changes can cause big changes in net forcing!**



Cloud Forcing:

Short wave:
-48.4 W/m^2

Long wave:
+31.1 W/m^2

Net:
-17.3 W/m^2

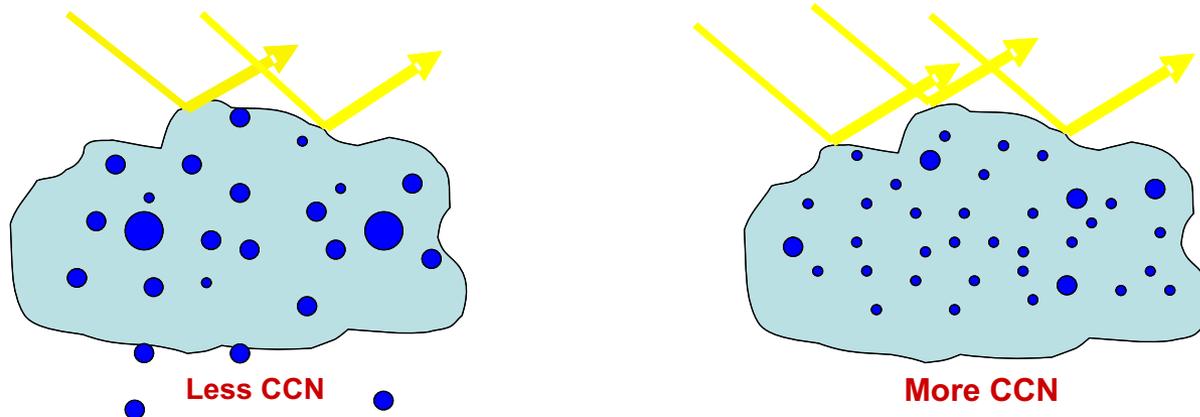
Evaporation and cloud formation redistribute energy from surface to atmosphere

Cloud Formation

- **Cloud Condensation Nuclei (CCN) needed for cloud formation**
 - homogeneous cloud formation requires $RH > 400\%$
- **aerosol particles can act as CCN**
- **many aerosol particles are of anthropogenic origin**
- **changes in aerosol composition and concentration affect cloud properties**

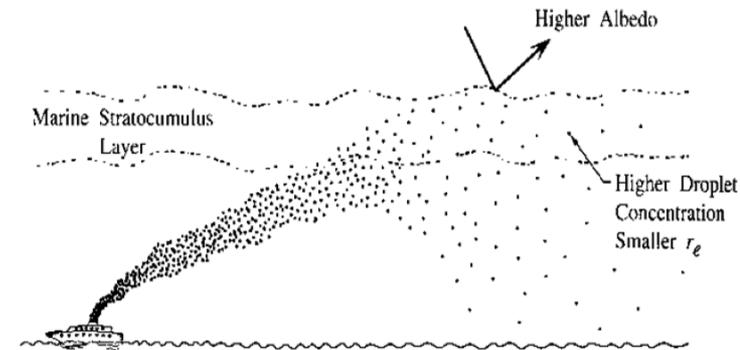
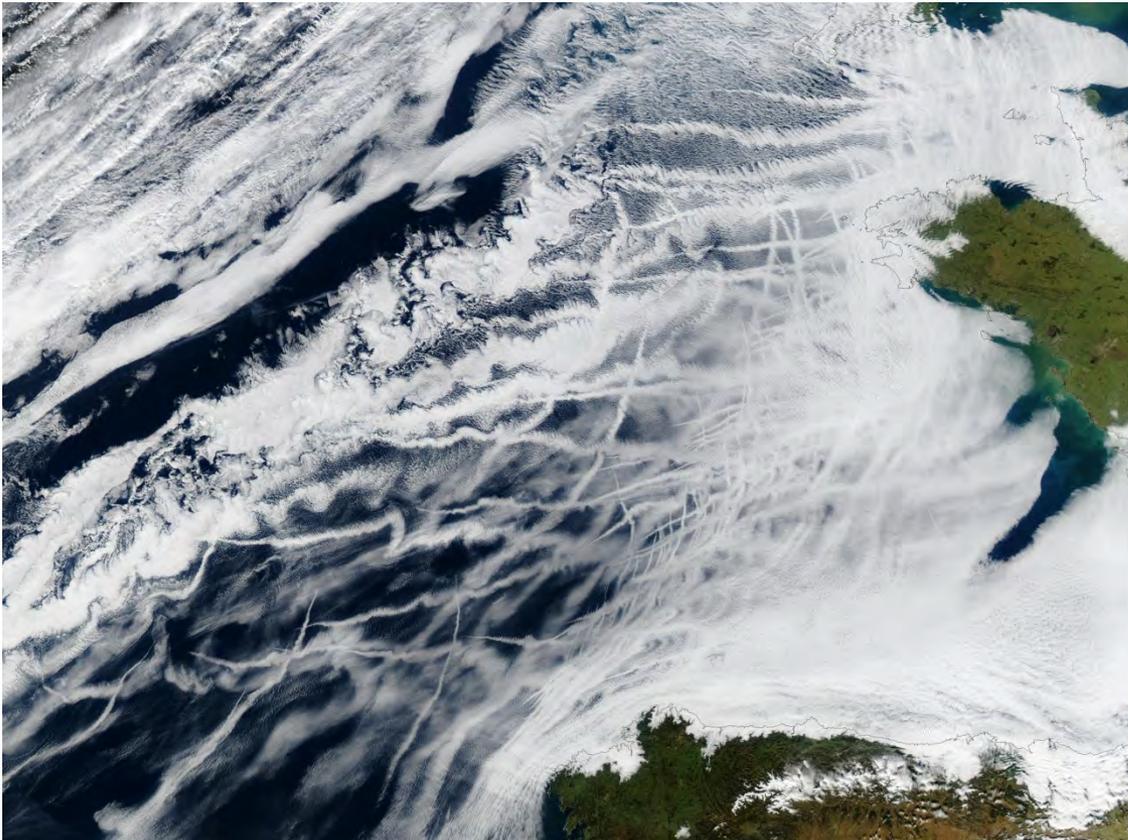
Aerosol - Cloud Interaction

- **1st aerosol indirect effect (AIE):**
more aerosol particles result in more and smaller cloud droplets => brighter more reflective clouds
- **2nd aerosol indirect effect:**
smaller cloud droplets lead to reduced precipitation efficiency => more clouds
- **aerosol cooling can offset greenhouse gas warming**



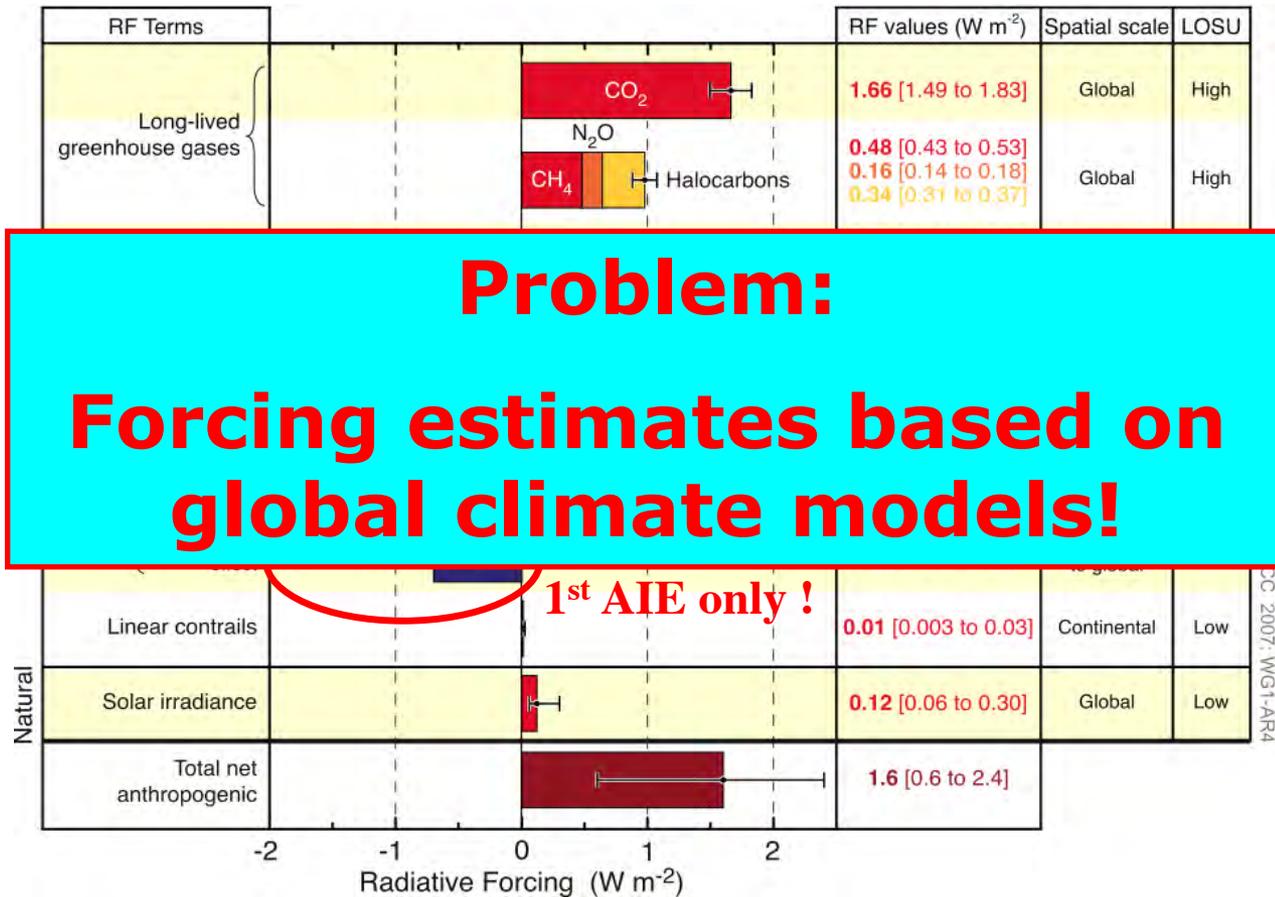
Ship Tracks: observed 1st AIE

Courtesy: NASA's Earth Observatory



- **Particles from exhaust plumes act as CCN
=> brighter clouds => 1st aerosol indirect effect**

2005 Global Average Radiative Forcing Estimates from IPCC fourth assessment report - <http://www.ipcc.ch>



- 2nd aerosol indirect effect: -0.3 to $-1.4 W/m^2$
- aerosol cooling can not be larger than greenhouse warming!

Clouds in Climate Models

- **Global Climate Model:**
 - spatial resolution: 2 degree (~ 200 km)
 - time scales: decadal to centennial
 - results aggregated in space and time: seasonal / annual and global / regional
 - main shortcoming: clouds unresolved, shallow and deep convection parameterized
- **clouds and precipitation poorly represented**
 - clouds are biggest uncertainty in climate sensitivity
- **estimates of aerosol indirect effects highly uncertain**

→ **use cloud resolving model
to find missing physics
(Guo et al., 2007)**

Field Experiment - ACE-2: Second Aerosol Characterization Experiment



Field Experiment - ACE-2: Second Aerosol Characterization Experiment

- marine stratocumulus over sub-tropical northeast Atlantic (Canary Islands)
- influenced by marine (clean) or continental (polluted) air
- airborne measurements in June/July 1997 of cloud and aerosol properties
- effects of meteorology and aerosols on cloud can not be distinguished
- apply cloud resolving model to investigate aerosol indirect effects

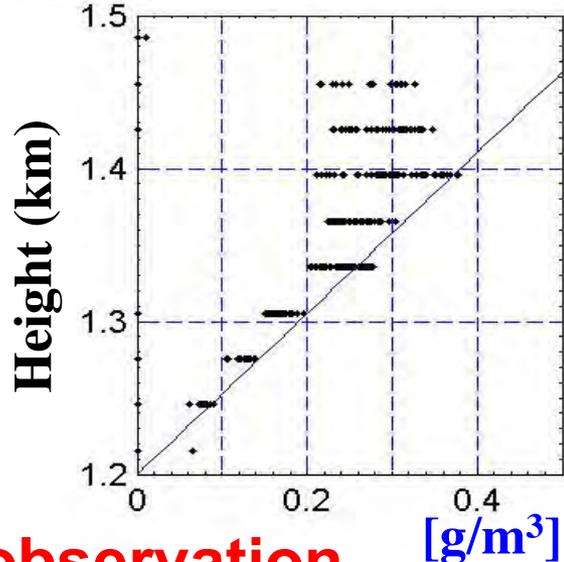
Modelling Approach

- use ATHAM as cloud resolving model (Active Tracer High Resolution Atmospheric Model)
 - non-hydrostatic, fully compressible
 - modules for sub-grid turbulence, cloud microphysics, radiation, and surface fluxes
- 3d cartesian, $dx=100\text{m}$ (uniform), $dz=30\text{m}$ (stretched)
- cyclic boundary conditions
- nudge horizontal mean flow to large scale flow, pressure to large scale pressure
- large scale forcing for temperature and humidity
- start from zero clouds (unforced, missing obs)
- predict cloud droplet number for AIE

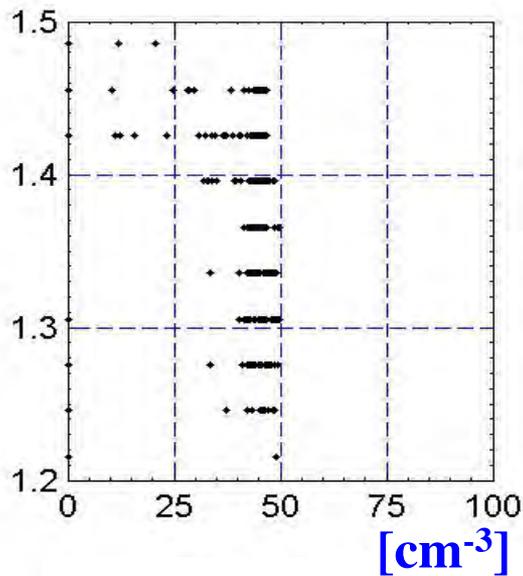
Clean Case: Comparison with Observations

model

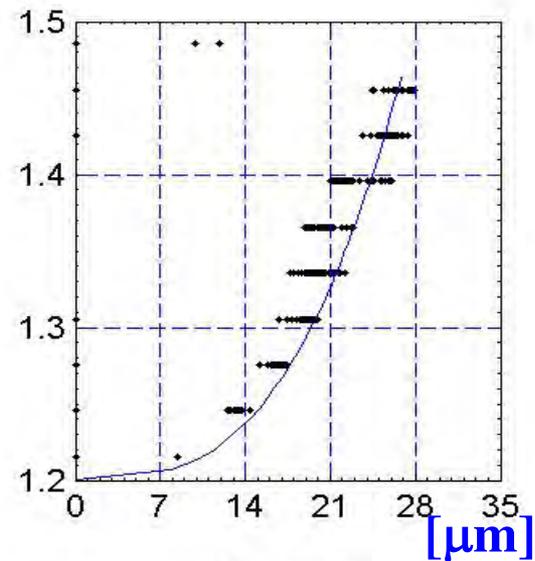
cloud liquid
water content



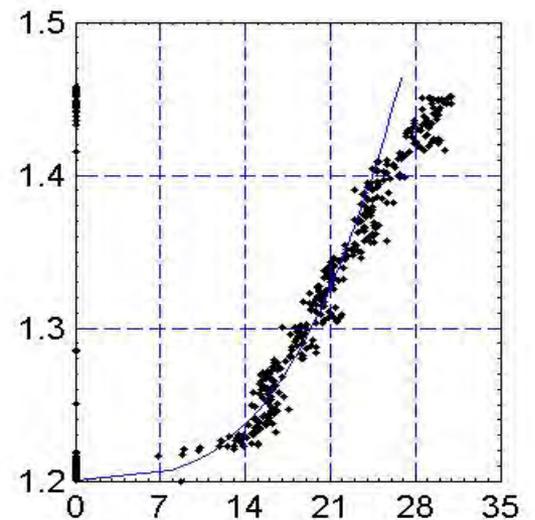
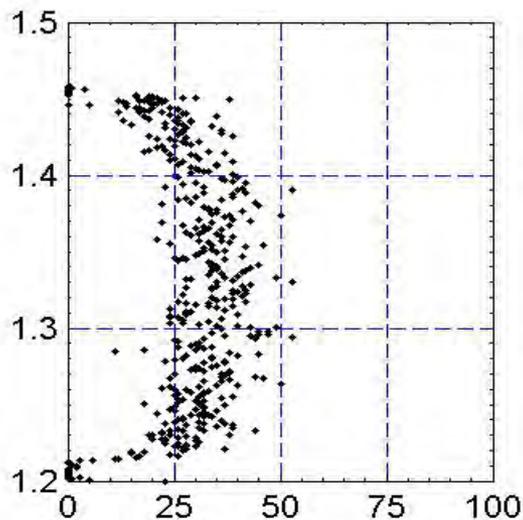
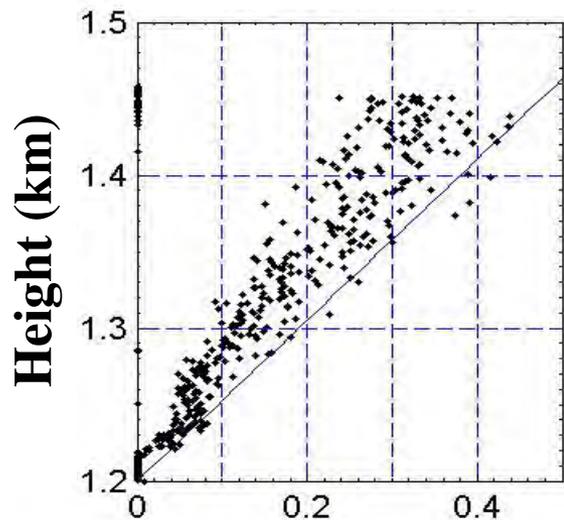
droplet number
concentration



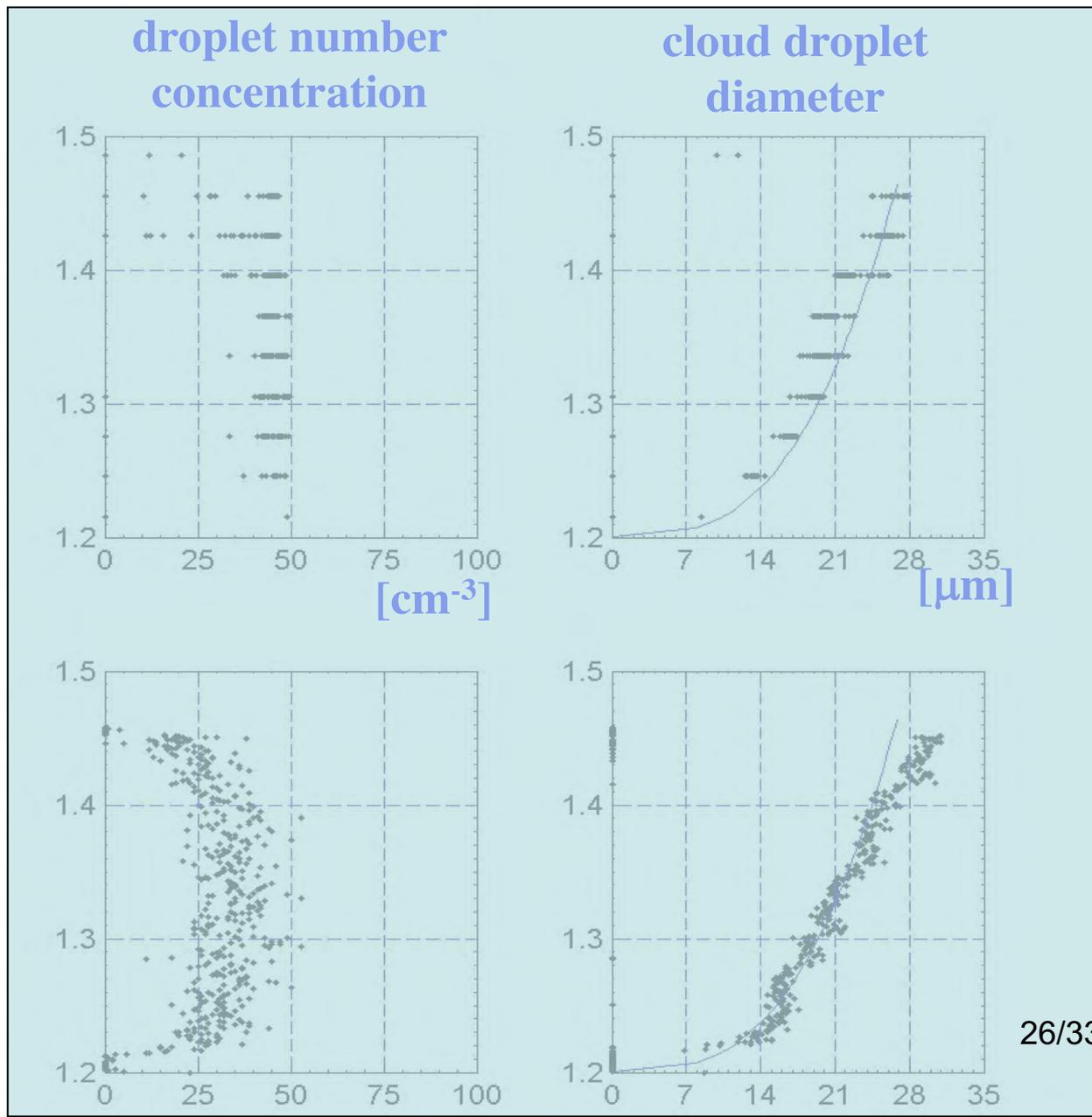
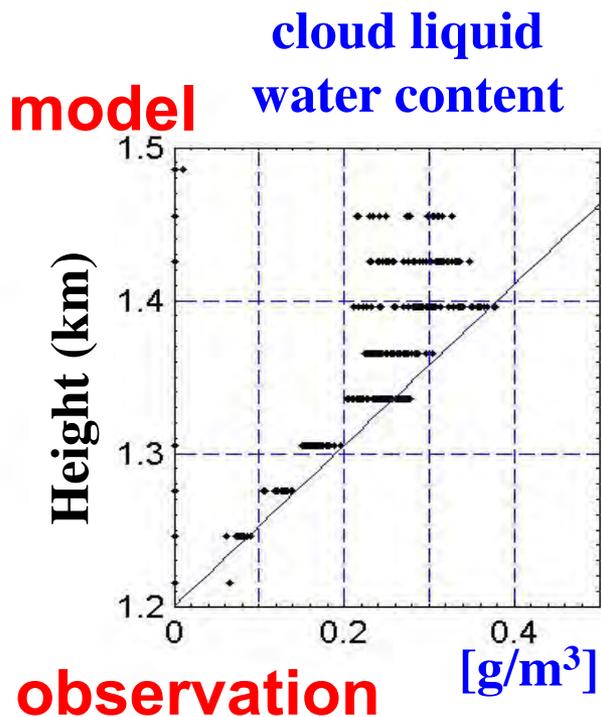
cloud droplet
diameter



observation



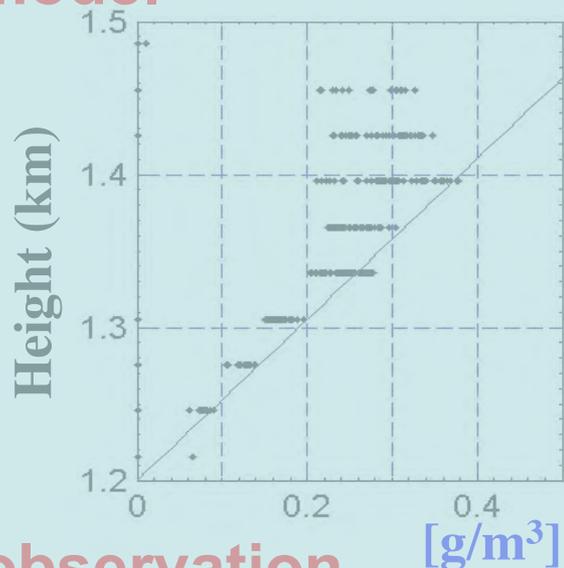
Clean Case: Comparison with Observations



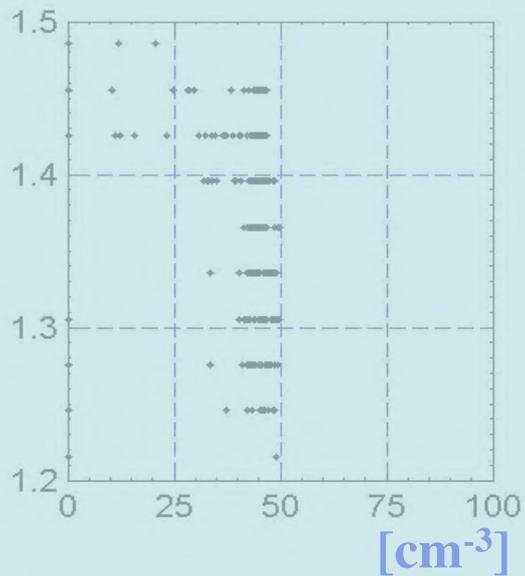
Clean Case: Comparison with Observations

model

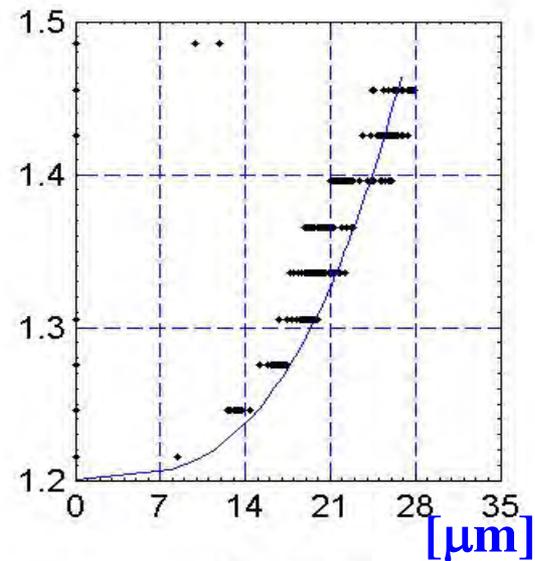
cloud liquid
water content



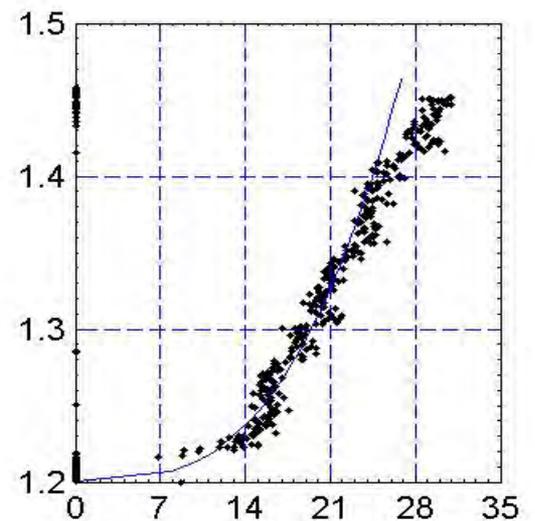
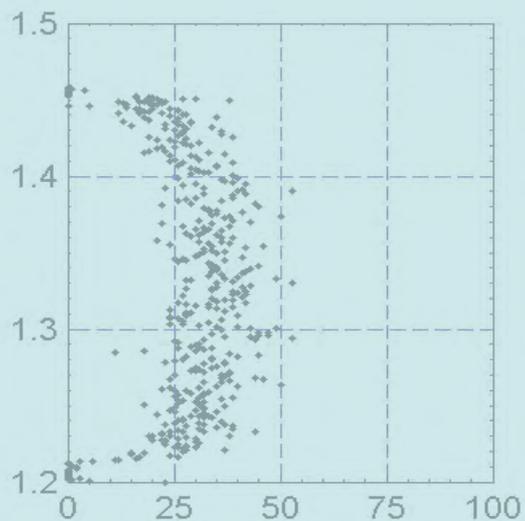
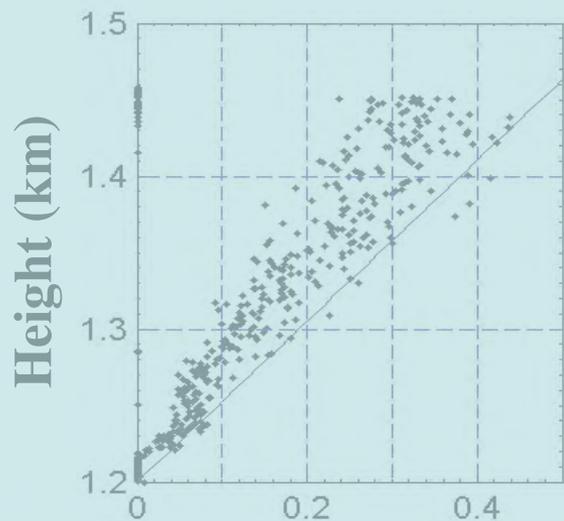
droplet number
concentration



cloud droplet
diameter

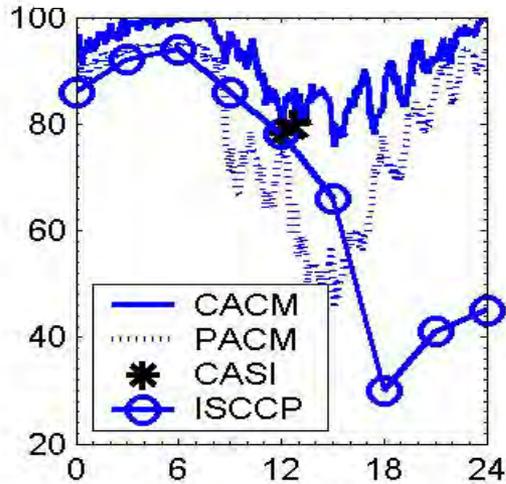


observation

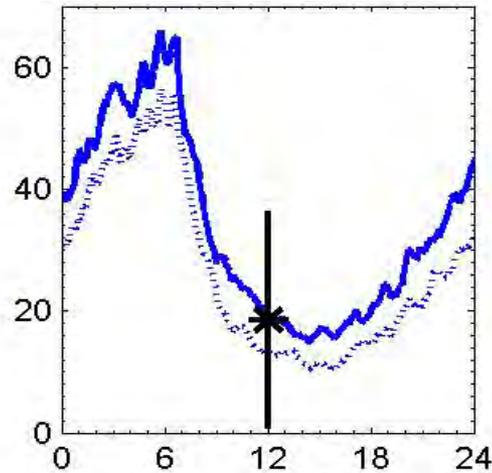


Modeled Aerosol Effects

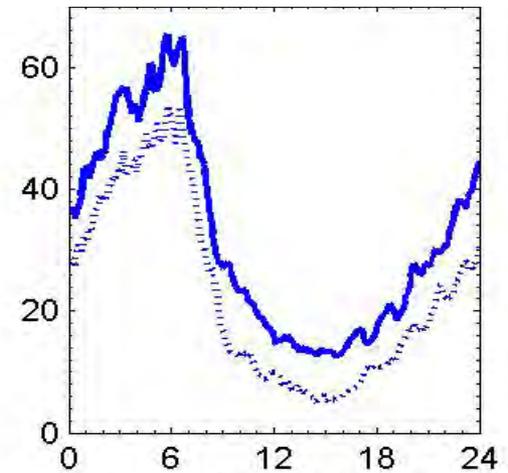
cloud fraction [%]



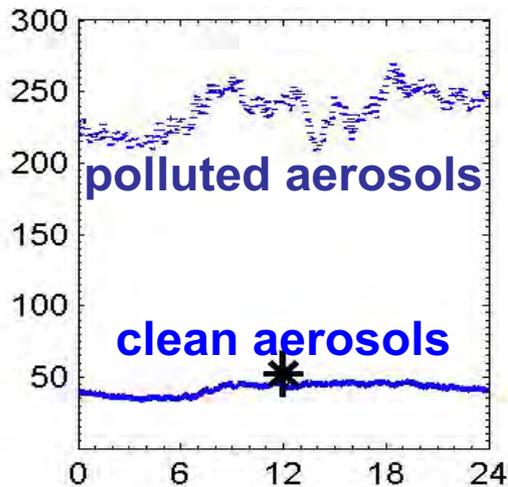
water content [g/m²]



domain water [g/m²]

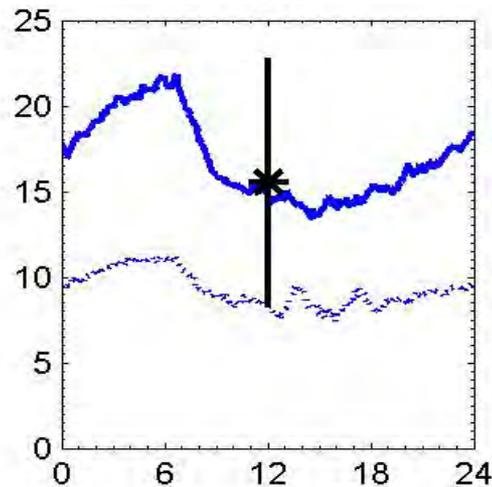


droplet number [cm⁻³]



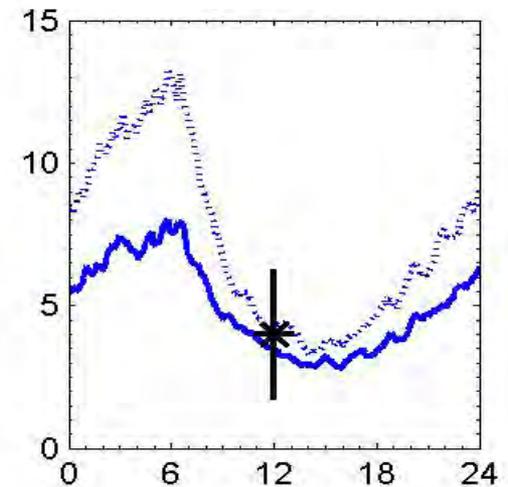
time (h, LST)

droplet diameter [μm]



time (h, LST)

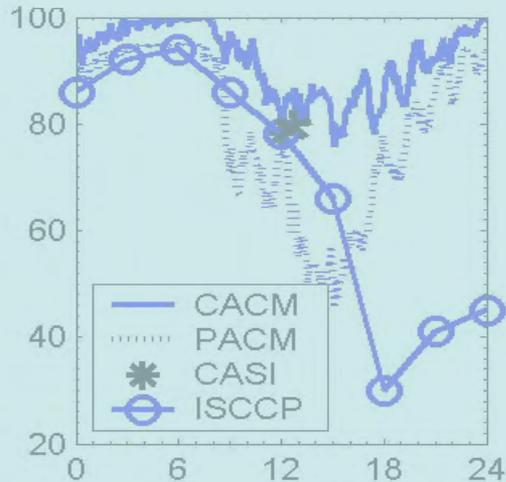
cloud optical depth



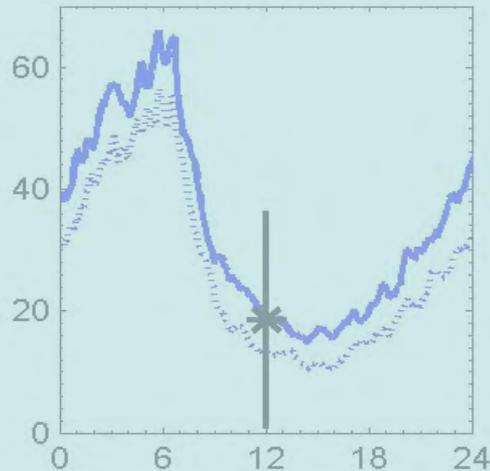
time (h, LST)

Modeled Aerosol Effects

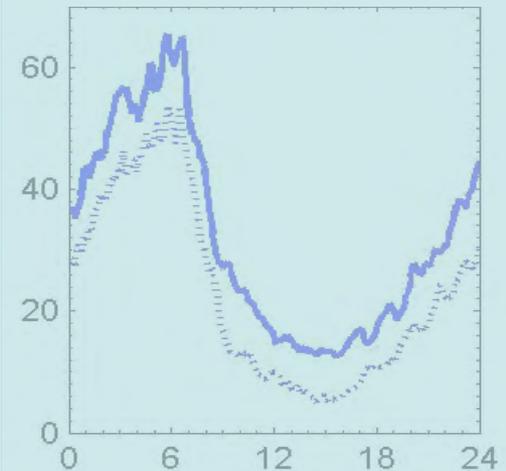
cloud fraction [%]



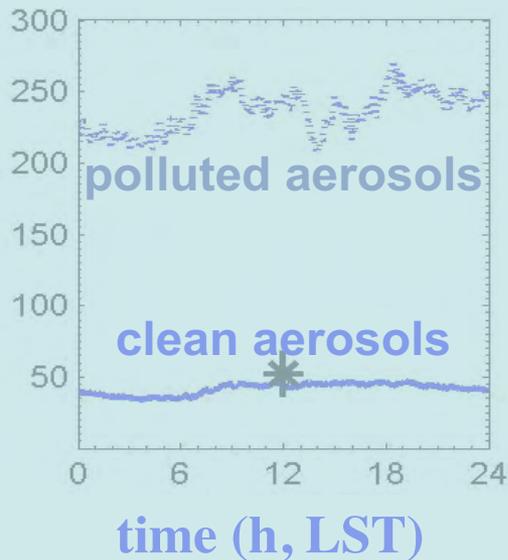
water content [g/m²]



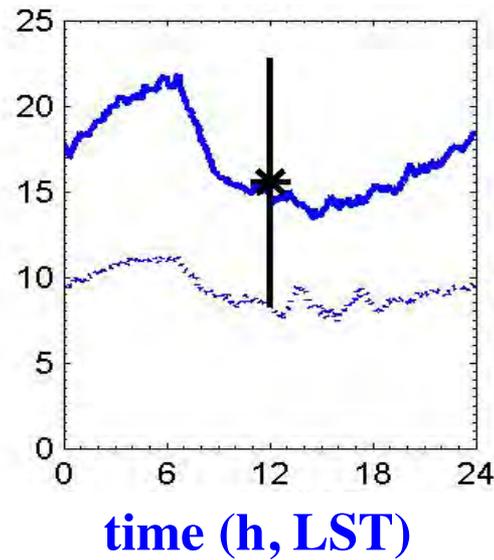
domain water [g/m²]



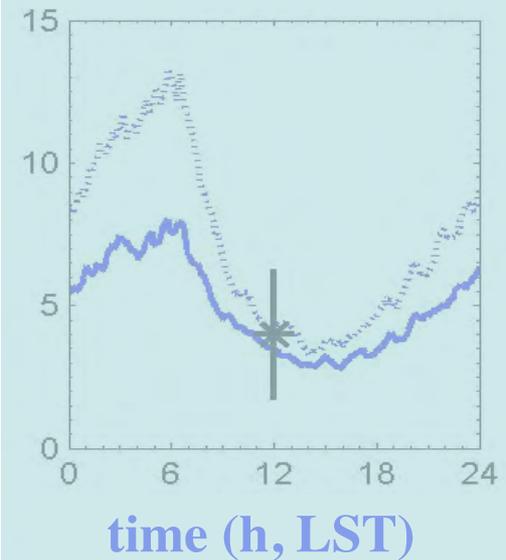
droplet number [cm⁻³]



droplet diameter [μm]

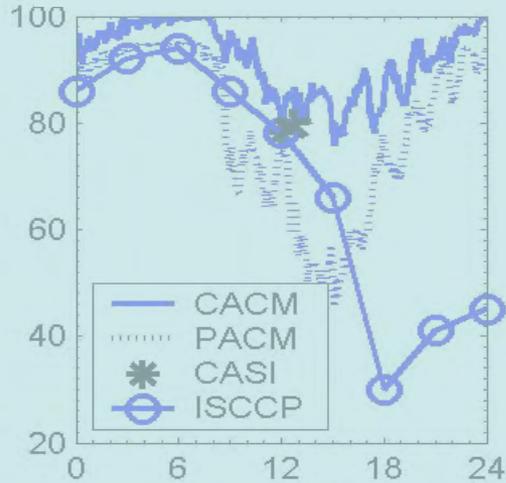


cloud optical depth

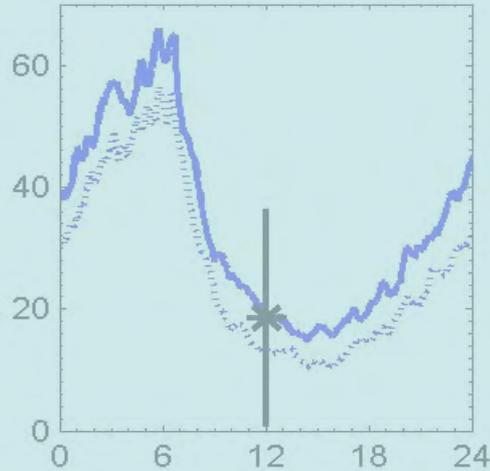


Modeled Aerosol Effects

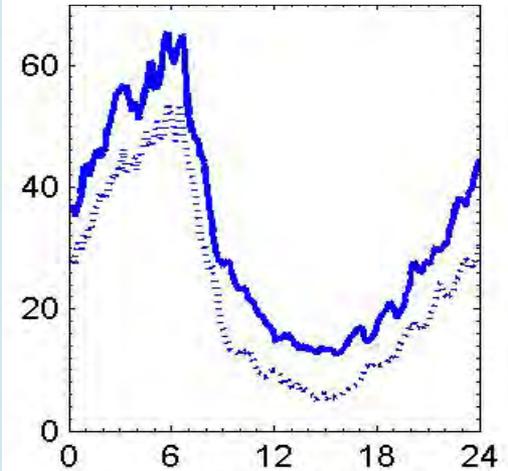
cloud fraction [%]



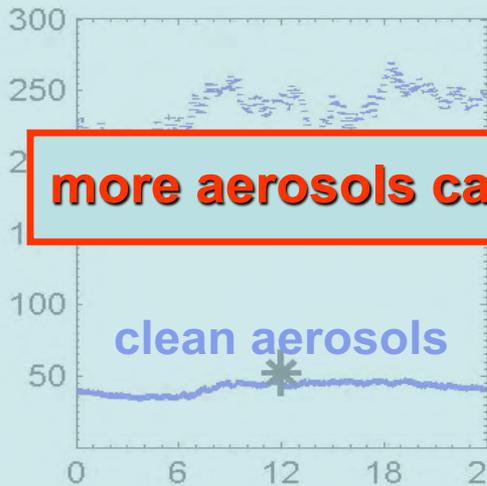
water content [g/m²]



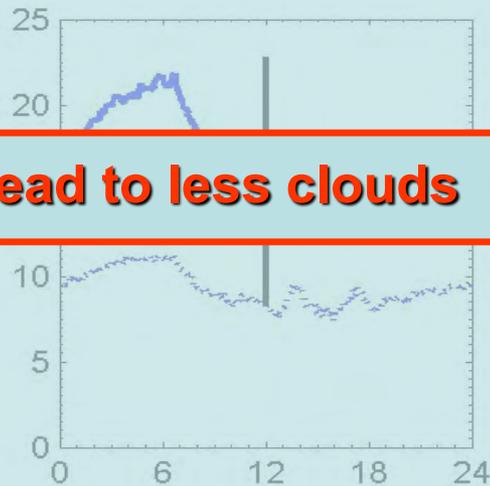
domain water [g/m²]



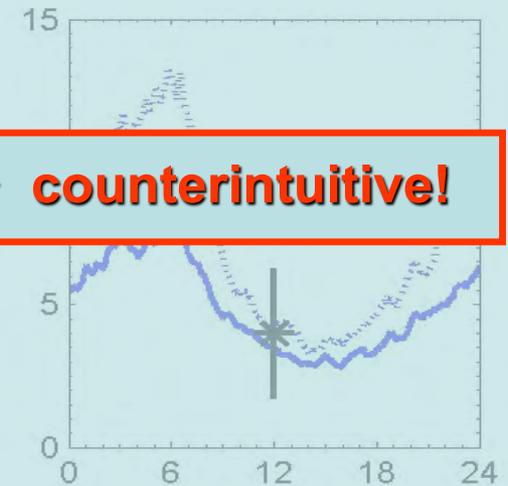
droplet number [cm⁻³]



droplet diameter [μm]



cloud optical depth



more aerosols can lead to less clouds ⇒ counterintuitive!

clean aerosols

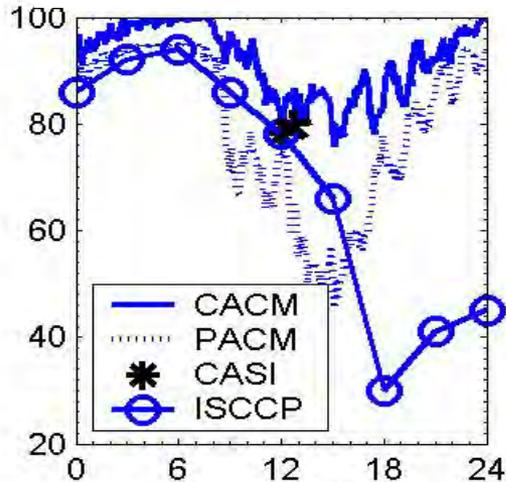
time (h, LST)

time (h, LST)

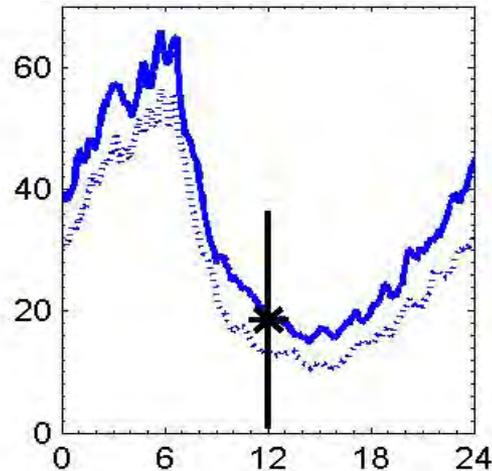
time (h, LST)

Modeled Aerosol Effects

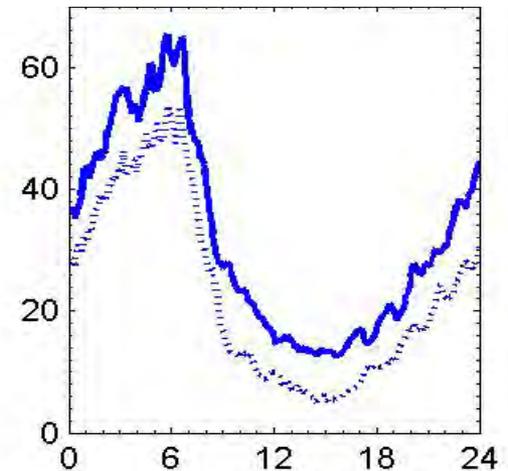
cloud fraction [%]



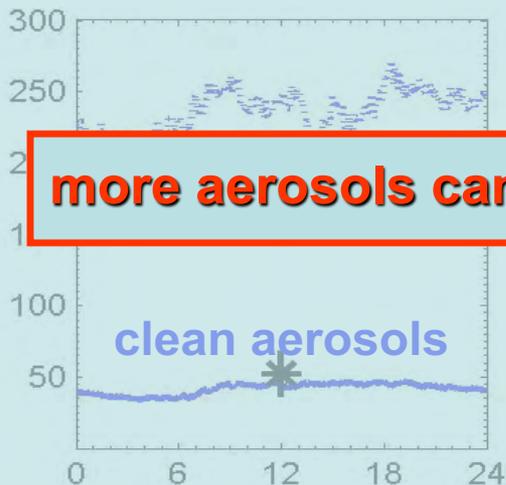
water content [g/m²]



domain water [g/m²]

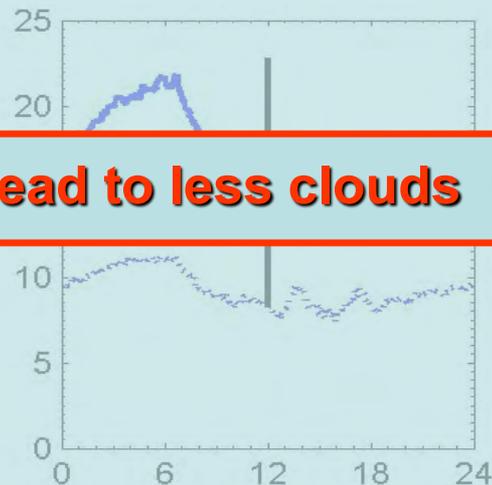


droplet number [cm⁻³]



time (h, LST)

droplet diameter [μm]



time (h, LST)

cloud optical depth



time (h, LST)

more aerosols can lead to less clouds ⇒ counterintuitive!

TOA Aerosol Forcing Estimates for Clean Case

- **AIE = aerosol indirect effect**
 - total AIE (PACM-CACM): -8.8 W/m^2
 - 1st AIE ($N_d^{PA} - N_d^{CA}$): -19.5 W/m^2
 - 2nd AIE (total - 1st): $+10.7 \text{ W/m}^2$
- **2nd AIE positive (warming!) because of decrease in liquid water path and cloud fraction**
 - changes in cloud properties lead to enhanced cloud top entrainment
- **AIE can not be separated from cloud dynamical processes and meteorological conditions**
- **case dependent**
 - problem of scale in global modelling framework used for IPCC

Summary

- Aerosols are suspended particles in the atmosphere.
- Aerosol cooling can partially offset greenhouse warming (parasol effect \Rightarrow potential for geo-engineering?).
- Aerosols are necessary to form clouds.
- Aerosol indirect effects result from aerosol cloud interactions.
- Quantification of aerosol forcing and feedbacks require global models.
- By design global models cannot adequately capture all relevant processes including direct, semi-direct, indirect effects and other dynamical feedbacks.
- More work is necessary to understand the role of aerosols and clouds in present and future climate.
- Mixed and ice phase clouds and their interactions with aerosols are even less well understood.